

Self-audit checklist For businesses

This document is designed to help employers conduct a self-audit of their compliance with certain key requirements set out in the Fair Work Act 2009 and Fair Work Regulations 2009, and associated Acts and Regulations.

It is not required to be sent back to the Fair Work Ombudsman.

If you have any queries please, contact the Fair Work Infoline on 13 13 94 or visit our website at www.fairwork.gov.au for advice and information.

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		mation unless you are planning on c n details on hand which we will need	contacting us about your particular circumstances. In this d in order to assist you.
1	Legal name of employer (e	e.g. EFGH Pty Ltd)	
4 2	Business or trading name	of employer (e.g. EFGH Catering)	
4 3	Business address		
4	ABN / ACN		
4	ADIV/ ACIV		
4 5	Business contact person		
	Title* Mr Mrs	Ms Miss Dr C	Other (please specify)
	Given name		
	Family name		
	Position		
	Phone (business)		Mobile Mobile
4 6	Number of employees		Number of apprentices/trainees
	1 / 2 2 2		, ,

Indus	rial instruments		
4 7	The laws surrounding industrial instruments have changed. Are you aware of which of the any) cover and apply to your employees?	following current	instruments (if
	Modern award		
	Award-based transitional instrument		
	Agreement-based transitional instrument		
	Division 2B State employment agreement*		
	Enterprise Agreement		
	Other:		
	State employment instruments that were in operation immediately before 1 January 2010, and have since moved into the nat ds terminated on 31 December 2010. From this date a modern award will generally apply to these employers.	ional workplace relations	system. Division 2B
	? Contact the Fair Work Infoline on 13 13 94 or your industry association.		
Mode	rn awards & the National Employment Standards (NES)		
4 8	Are you aware of the modern awards (if any) which may cover and apply to your employees?	YES	NO
4 9	Are you aware of the National Employment Standards (NES) which apply from 1 January 2010?	YES	NO
1 0	Are you aware of your obligations with respect to:		
	Requests for flexible working arrangements?	YES	NO _
	Notice of termination?	YES	NO
	Redundancy pay?	YES	NO
1 1	Are all new employees given a Fair Work Information Statement?	YES	NO
1 2	Do you retain details of how the statement was given?	YES	NO
1 3	Are you aware of how the modern awards and National Employment Standards interact with your existing workplace agreements?	YES	NO
	Did you answer NO to any of the above questions? List the actions you need to take to real NES entitlements checklist requirements.	meet the modern	awards and
	For information about modern awards and the NES, visit www.fairwork.gov.au/nes		

Leave Entitlements		
✓ 14 Do you maintain accurate records of employee leave entitlements?	YES	NO
✓ 15 Do you have processes in place for employees to apply for:		
Annual leave?	YES	NO
Personal/carer's leave?	YES	NO
Compassionate leave?	YES	NO
Parental leave?	YES	NO
Community service leave?	YES	NO
Long service leave?	YES	NO
Did you answer NO to any of the above questions? List the actions you need to take to meet the requirements. For information about modern awards and leave, visit www.fairwork.gov.au/lea		l leave checklist
Pay & conditions 17 When are your employees paid?	Monthly Fri Sat	Sun
✓ 18 Do you include the following details on the employee's pay slip?		
Employer ABN	YES	NO
Legal and/or trading name of employer	YES	NO
Employee name	YES	NO
Date of payment (e.g. 19/06/09)	YES	NO
Period of payment (e.g. 04/06/09 – 18/06/09)	YES	NO
Gross and net amount of pay	YES	NO
For employees paid an hourly rate – the ordinary hourly rate of pay, number of hours worked at that rate and the amount of payment at that rate N/A	YES	NO
For employees paid an annual rate (salary) that rate as at the last day in the payment period N/A	YES	NO
Details of any deductions made from the employee's pay		
Amount and the name of the superannuation fund (for employers required to make superannuation contributions for the benefit of employees)	YES	NO

1 9	Do employees get a pay slip within 1 working day after they are paid?		YES	NO _
4 20	Do casual employees receive the correct loading for ordinary time/overtime/weekend work and public holidays in accordance with the relevant Award/Agreement?	N/A	YES	NO
4 21	If work is performed on weekends, nights or public holidays			
	Do you accurately record the start and finish times for employees who receive penalty rates?	N/A	YES	NO
	Do you pay the correct penalty rates (according to the relevant Award/Agreement)?	N/A	YES	NO
4 22	Are district/uniform/late work or other allowances being paid as per the relevant Award/Agreement/Act?	N/A	YES	NO
4 23	Do employees get the correct meal break according to the relevant Award/Agreement?	N/A	YES	NO
	If no, are employees properly compensated?	N/A	YES	NO
Note: a				
	& wages record keeping			
	& wages record keeping Do you include these details on the employee's records? Note : you ca	n keep manual a	nd/or electronic re	ecords.
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- ime	Do you include these details on the employee's records? Note : you call Legal and/or trading name of employer Employee name Date the employee commenced work with the employer Employee status – whether the employee undertakes part-time or full and whether they are engaged as a permanent, temporary or casual end with the employee of hours worked	-time work	YES YES YES YES YES YES	NO NO NO NO NO
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If you answered NO to any part of question 24 that applies in your circumstances, you may be in contravention of your workplace
obligations. Visit www.fairwork.gov.au/resources or contact the Fair Work Infoline on 13 13 94 for advice. Note: any areas where you may need to take action. in order to meet the time and wages record keeping requirements.
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△ 25 Did you know you have to keep time and wages records for 7 years? YES NO
Dismissal and bargaining
New unfair dismissal laws, including a small business fair dismissal code, apply under the Fair Work Act 2009. New requirements for employers and employees to bargain in good faith when making enterprise agreements also apply. These obligations
commenced on 1 July 2009.
More information
Contact the Fair Work Infoline on 13 13 94 or visit www.fairwork.gov.au
Download templates for time and wages records and pay slips www.fairwork.gov.au/resources
Fact sheets on workplace relations issues to read download or print www.fairwork.gov.au/resources
How do you contact the Fair Work Ombudsman?
Over the telephone – call the Fair Work Infoline on 13 13 94 for the cost of a local call.
Over the internet – visit our website at www.fairwork.gov.au
By email – via a special 'Contact us' email form available at www.fairwork.gov.au
By post – address your letter to the Fair Work Ombudsman at GPO Box 9887 in your capital city.
In person – visit one of our offices located in each capital city and in 18 regional areas across the country. Find your nearest office at www.fairwork.gov.au
Contact the Translating and Interpreting Service (TIS) on 131 450 for the cost of a local call if you need help communicating in English.
If you have a hearing or speech impairment you can call through the National Relay Service (NRS):
TTY users phone 133 677. Ask for the Fair Work Infoline 13 13 94.
Speak and Listen (speech-to-speech relay) users phone 1300 555 727. Ask for the Fair Work Infoline 13 13 94

advice (but not legal or professional service advice). The FWO does not provide this information for any other purpose. Your completion of the checklist does not guarantee that you have met the key requirements referred to in the checklist. You may wish to refer to www.fairwork.gov.au or the Fair Work Infoline on 13 13 94 for further information. You may wish to obtain your own independent legal advice or other professional service or expert assistance relevant to your particular circumstances.

The information included in this checklist has been provided by the Fair Work Ombudsman (FWO) as part of its function to provide education, assistance and

www.fairwork.gov.au Fair Work Infoline 13 13 94

